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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/836,685 TRANSMITTAL April 17, 2001 Filing Date **FORM** Odhner First Named Inventor (to be used for all correspondence after initial filing) Group Art Unit 2872 **Examiner Name** LUC 2-026-3 Total Number of Pages in This Submission Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disdaimer Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s)_ Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Diane E. Burke Mueller and Smith, LPA Individual name Signature Date July 17, 2001 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: July 17, 2001 Typed or printed name Gail, Ę. James July 17, 2001

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Attorney Docket No.: LUC 2-026-38 Russon

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

An re Application of TRADEM Jefferson E. Odhner, et al.)
Jefferson E. Odhner, et al.)

44 23 200; TO 2850 HAIL ROOM

Serial No.: 09/836,685

Filed: April 17, 2001

For: Direction of Optical Signals By

A Movable Diffractive Optical Element

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

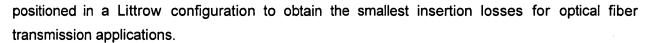
INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is filed in conformity with 37 C.F.R. §§ 1.56, 1.97 and 1.98. Accordingly, copies of the art cited herein are provided:

Document	Date	Name
U.S. Patent No. 5,777,763	July 7, 1998	Tomlinson, III
U.S. Patent No. 5,748,815	May 5, 1998	Hamel, et al.
U.S. Patent No. 5,748,350	May 5, 1998	Pan, et al.
U.S. Patent No. 5,613,022	Mar. 18, 1997	Odhner, et al.
U.S. Patent No. 5,526,155	June 11, 1996	Knox, et al.
U.S. Patent No. 5,461,687	Oct. 24, 1995	Brock
U.S. Patent No. 5,457,573	Oct. 10, 1995	lida, et al.
U.S. Patent No. 5,450,512	Sept. 12, 1995	Asakura
U.S. Patent No. 5,056,886	Oct. 15, 1991	Hoult
U.S. Patent No. 4,923,271	May 8, 1990	· Henry, et al.
U.S. Patent No. 4,799,763	Jan. 24, 1989	Davis, et al.
U.S. Patent No. 4,679,901	July 14, 1987	Dammann, et al.
U.S. Patent No. 4,669,467	June 2, 1987	Willett, et al.
U.S. Patent No. 4,626,069	Dec. 2, 1986	Dammann, et al.
U.S. Patent No. 4,621,894	Nov. 11, 1986	Gouali
U.S. Patent No. 4,552,462	June 11, 1985	Large, et al.
U.S. Patent No. 4,337,993	July 6, 1982	Kompfner
U.S. Patent No. 3,975,082	Aug. 17, 1976	Winzer
French Patent No. 2,538,131	Dec. 20 1982	Metz

- U.S. Pat. No. 5,777,763 describes an in-line optical wavelength reference and control module which uses a pair of gratings to direct a signal of a particular wavelength. Additional pairs of gratings are provided in series where direction of multiple signals is desired.
- U.S. Patent No. 5,748,815 describes an apparatus for use as a drop/add multiplexer wherein signals are directed from input fibers to output fibers by a fixed diffraction grating



- U.S. Patent No. 5,748,350 describes a DWDM device which uses a plurality of Bragg filters, each having a reflection band of a given frequency, to transmit or reflect signals of that given frequency.
- U.S. Patent No. 5,613,022 describes a diffractive element wherein a piezo-electric film is energized for movement of a diffractive pattern affixed to the film. Resulting movement varies the effective spacing of the grating, and a display may be created from light diffracted from the grating.
- U.S. Patent No. 5,526,155 describes a single optical source used in DWDM applications to provide an optical pulse signal, which is thereafter split into a plurality of channel signals for multiplexing applications.
- U.S. Patent No. 5,461,687 describes the use of a dispersive element in combination with a plurality of mirrors to direct an optical signal and provide a true time delay.
- U.S. Patent No. 5,457,573 describes a diffraction grating formed by grooves and a reflective film provided in a transparent substrate.
- U.S. Patent No. 5,450,512 describes a beam selection device that acts as a filter to abstract a given wavelength.
 - U.S. Patent No. 5,056,886 describes a switching device utilizing a rotating mirror.
- U.S. Patent No. 4,923,271 describes an optical multiplexing/demultiplexing system utilizing a plurality of Bragg reflectors that share a common focal point.
- U.S. Patent No. 4,799,763 describes an image projection system that reduces image distortion.
- U.S. Patent No. 4,679,901 describes an optical phase grating that divides a signal among multiple outputs.
- U.S. Patent No. 4,669,467 describes a catheter device for combining multiple inputs into a single channel.
- U.S. Patent No. 4,626,069 describes an optical phase grating that divides a signal among multiple outputs.
- U.S. Patent No. 4,621,894 describes a device for directing a light source to a matrix of fibers by way of a fixed diffraction grating.
- U.S. Patent No. 4,552,462 describes a multiplexing/demultiplexing application using a GRINS lens.
- U.S. Patent No. 4,337,993 describes a device for directing optical signals using a fixed diffraction grating.
 - U.S. Patent No. 3,975,082 describes an on/off switching device.

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French Patent No. 2,538,131 describes a device for directing optical signals using multiple diffraction gratings. An English translation is attached.

REMARKS

It respectfully is submitted that none of the foregoing art, alone or in combination, shows or proposes the present invention. Accordingly, favorable action on the application respectfully is requested.

Respectfully submitted,

Diane E. Burke Reg. No. 45, 725

MUELLER AND SMITH, L.P.A. MUELLER-SMITH BUILDING 7700 Rivers Edge Drive

Columbus, Ohio 43235-1331 Tel.: 614-436-0600

Fax: 614-436-0057

email: patents@infinet.com

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Gail F. James